



Sheet 1 of 1 sheet(s)

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)					Docket No. ROC920010110US1		Serial No. 09/865,798	
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT					Applicant Braam et al		Confirmation No.:	
(Use several sheets if necessary)					Filing Date 05/25/2001		Group Unknown	
Examiner unknown								
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
<i>LSH</i>	A1	4,905,171	02/27/1990	Kiel et al	364	551.01	11/09/1987	
<i>LSH</i>	A2	5,408,618	04/18/1995	Aho et al	395	325	07/31/1992	
<i>LSH</i>	A3	5,627,766	05/06/1997	Beaven	364	551.01	01/03/1995	
<i>LSH</i>	A4	5,696,701	12/09/1997	Burgess et al	364	551.01	07/12/1996	
<i>LSH</i>	A5	5,758,071	05/26/1998	Burgess et al	395	200.5	07/12/1996	
<i>LSH</i>	A6	5,796,633	08/18/1998	Burgess et al	364	551.01	07/12/1996	
<i>LSH</i>	A7	5,949,976	09/07/1999	Chappelle	395	200.54	09/30/1996	
<i>LSH</i>	A8	5,961,596	10/05/1999	Takubo et al	709	224	02/14/1997	
<i>LSH</i>	A9	09/892,424					06/27/2001	
<i>LSH</i>	A10	09/892,435					06/27/2001	
	A11							
	A12							
	A13							
Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>
OTHER ART								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
<i>LSH</i>	C1	"Performance Management/400 Offerings and Services, including Performance Management/400 - Subset", Version 3, International Business Machines Corporation, Third Edition, September 1994.						
	C2							
	C3							
Examiner <i>Ly V. Hea</i>					Date Considered <i>11/28/04</i>			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

Sheet 1 of 1

Application Number.: 09/865,798  
Filing Date: May 25, 2001  
First Named Inventor: Braam et al.  
Art Unit: 2131  
Examiner Name: Unknown  
Attorney Docket Number.: ROC920010110US1

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature	<i>P. V. Shea</i>	Date Considered	11/28/04
--------------------	-------------------	-----------------	----------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.